SEP 3 0 2004

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Facsimile Cover Sheet

Facsimile No.: 703/872-9306 To: Commissioner for Patents for Examiner Debbie M. Le **Group Art Unit 2177** No. of Pages Including Cover Sheet: 3 From: Dell Whitton Paralegal to Duke Yee Message: Enclosed herewith: Transmittal Document; and Notice of Appeal. Re: Application No. 09/439,054 Attorney Docket No: AT9-99-234 Date: Thursday, September 30, 2004 This Facsimile is intended only for the use of the addressee Please contact us at (972) 367-2001 if and, if the addressee is a client or their agent, contains you do not receive all pages privileged and confidential information. If you are not the intended recipient of this facsimile, you have received this indicated above or experience any facsimile inadvertently and in error. Any review, difficulty in receiving this facsimile. dissemination, distribution, or copying is strictly prohibited. If you received this facsimile in error, please notify us by telephone and return the facsimile to us immediately.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: Gusler et al.

Serial No.: 09/439,054

Filed: November 12, 1999

For: Enhanced Backup and Recovery

Methodology

Group Art Unit: 2177

Examiner: Le, Debbie M.

Attorney Docket No.: AT9-99-234

Certificate of Transmission Under 37 C.F.R. § 1.8(a)

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Dell Whitton

TRANSMITTAL DOCUMENT

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PATENT TRADBMARK OFFICE CUSTOMER NUMBER

Sir:

ENCLOSED HEREWITH:

Notice of Appeal

A fee of \$330.00 is believed to be necessary. Please charge this fee to IBM Corporation Deposit Account 09-0447. In the event that any additional fees are required for the prosecution of this application, please charge any necessary fees to JBM Corporation Deposit Account 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is needed, the extension is requested and the fee for this extension should be charged to IBM Corporation Deposit Account 09-0447.

Respectfully submitted,

Duke W. Yee

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Dell Whitton

NOTICE OF APPEAL

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the office action dated July 30, 2004 finally rejecting claims 1, 4-17 and 20-38.

A fcc of \$330.00 is believed to be necessary. Please charge this fee to IBM Corporation Deposit Account No. 09-0447. In the event that any additional fees are required for the prosecution of this application, please charge any necessary fees to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is needed, the extension is requested and the fee for this extension should be charged to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

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